SETTLEMENT AGREEMENT

J.L. et al., v. Cuccinelli et al., Northern District of California Civil Action No. 5:18-CV-4914-NC (DMR)

Plaintiffs J.L., J.B.A., M.G.S., and M.D.G.B. ("Plaintiffs"), on behalf of themselves and all Class Members, and Defendants U.S. Citizenship and Immigration Services ("USCIS"); Department of Homeland Security ("DHS"); Kenneth T. Cuccinelli, II, in his official capacity as Director, USCIS; Kevin McAleenan, in his official capacity as Acting Secretary, DHS; and Robert M. Cowan, in his official capacity as Director, USCIS National Benefits Center, (collectively, "Defendants") by and through their attorneys, hereby enter into this Settlement Agreement, entered into this 25th day of October, 2019, and effective upon the Effective Date defined below. Plaintiffs and Defendants are jointly referred to as the "Parties."

I. RECITALS

- **A.** On August 14, 2018, Plaintiffs commenced this litigation against Defendants for declaratory and injunctive relief based on allegations that USCIS imposed a "new requirement" (the "Reunification-Authority Requirement") for Special Immigrant Juvenile ("SIJ") classification, which was contrary to state and federal law and violated the Administrative Procedure Act, the Immigration and Nationality Act, and/or the Due Process Clause of the Fifth Amendment to the U.S. Constitution.
- **B.** In support of their claims, Plaintiffs alleged that in early 2018, Defendants adopted an unlawful policy without notice that imposed a new requirement on SIJ petitions, that the Reunification-Authority Requirement (as defined below) violates 8 U.S.C. § 1101(a)(27)(J), that the conclusion based on the Reunification-Authority Requirement that the California Probate Court lacks the jurisdiction and authority to issues SIJ findings when appointing guardians pursuant to § 1510.1(a) of the California Probate Code violates 8 U.S.C. § 1101(a)(27)(J), and that the new requirement would disqualify the Named Plaintiffs and the Class they proposed to represent from SIJ classification. Defendants deny these allegations.
- C. The Court entered a preliminary injunction on October 24, 2018, prohibiting Defendants, during the pendency of the litigation, from: (i) denying SIJ classification pursuant to 8 U.S.C. § 1101(a)(27)(J) on the ground that a California Probate Court does not have jurisdiction or authority to "reunify" an 18- to 20-year-old immigrant with his or her parents; and (ii) initiating removal proceedings against or removing any SIJ petitioner who was appointed a guardian pursuant to § 1510.1(a) of the California Probate Code and whose SIJ petition was denied on the grounds that the California Probate Court did not have jurisdiction or authority to "reunify" an 18- to 20-year-old immigrant with his or her parents. The Court also ordered Defendants to provide no fewer than 14 days' notice to Plaintiffs' Counsel before Defendants take any adverse adjudicatory or enforcement action against any of the individual Plaintiffs or members of the Proposed Class.

D. Pursuant to Rule 23(b)(2) of the Federal Rules of Civil Procedure, the Court certified the Class as:

Children who have received or will receive guardianship orders pursuant to California Probate Code § 1510.1(a) and who have received or will receive denials of their SIJ status petitions on the grounds that the state court that issued the SIJ Findings lacked jurisdiction because the court did not have the authority to reunify the children with their parents.

(ECF No. 112).

- **E.** The Parties filed cross motions for summary judgment with the district court. Prior to a hearing or decision on summary judgment, the Parties entered settlement negotiations and attended settlement conference sessions with Magistrate Judge Donna Ryu on October 3, 2019, and October 4, 2019. The Parties, through their counsel, continued to engage in arm's-length settlement negotiations under the direction and supervision of Judge Ryu regarding the terms and conditions of this Settlement Agreement. On October 25, 2019, the Parties finalized and executed this Agreement. Accordingly, the Parties agree, subject to approval by the Court, that the Claims shall be fully and finally compromised, settled, and Defendants shall be released from the Settled Claims (as defined in Section II) pursuant to the terms and conditions set forth in this Agreement.
- F. Plaintiffs' Counsel have evaluated the merits of the Parties' contentions and this settlement as it affects the Parties and the Class Members as well as the risks of continued litigation of the Claims, which include not prevailing on a motion for summary judgment and potential appeals should Plaintiffs prevail. Plaintiffs and Plaintiffs' Counsel, after taking into account the foregoing, along with other risks, delay, and the costs of further litigation, are satisfied that the terms and conditions of this Agreement are fair, reasonable, adequate, and equitable, and that a settlement of the Action and the prompt provision of relief to the Class Members are in the best interests of those Members.
- G. Defendants deny all liability with respect to the Action, deny that they have engaged in any wrongdoing, deny the allegations in the Complaint, deny that they committed any violation of law, deny that they acted improperly in any way, and deny liability of any kind to the Plaintiffs or Class Members. Nonetheless, Defendants have agreed to the settlement and dismissal of the Action with prejudice in order to: (i) avoid the substantial expense, inconvenience, and distraction of further protracted litigation, including trial and appeal; and (ii) finally put to rest and terminate the Action and any and all Settled Claims as defined in Section II.
- **H.** Both Plaintiffs and Defendants, through counsel, have conducted discussions and arm's length negotiations regarding a compromise and settlement of the Action with a view to settling all matters in dispute.
- I. This Agreement reflects a compromise between the Parties and shall in no event be construed as or be deemed an admission or concession by any Party of the truth of any allegation or the validity of any purported claim or defense asserted in any of the pleadings regarding the Claims, or of any fault on the part of Plaintiff or Defendants, and all such

allegations are expressly denied. Nothing in this Agreement shall constitute an admission of liability or be used as evidence of liability or non-liability by or against any Party.

J. Considering the benefits that the Plaintiffs and Class Members will receive from settlement of the Action and the risks of litigation, Class Counsel have concluded that the terms and conditions of this Settlement are fair, reasonable, and in the best interests of the Plaintiffs and Class Members; Plaintiffs have agreed that Defendants shall be released from the Settled Claims pursuant to the terms and provisions of this Settlement; and Plaintiffs have agreed to the dismissal with prejudice of this Action and all Settled Claims as defined in Section II.

NOW, THEREFORE, it is hereby AGREED, by and among the parties to this Settlement, through their respective attorneys, subject to the approval of the Court pursuant to Rule 23(e) of the Federal Rules of Civil Procedure, in consideration of the benefits flowing to the parties hereto from the Agreement, that the Settled Claims shall be compromised, settled, forever released, barred, and dismissed with prejudice, upon and subject to the following terms and conditions:

II. **DEFINITIONS**

Capitalized terms in this Agreement shall be defined as follows:

"Action" means the civil action captioned *J.L. et al. v. Kenneth T. Cuccinelli, II, et al.*, Case No. 5:18-CV-4914 NC, United States District Court for the Northern District of California.

"Agreement" means this Class Action Settlement Agreement, including all exhibits.

"Adverse Adjudicatory Action" means (i) decisions of denial or (ii) decisions revoking previously-granted SIJ petitions, on the ground that the California Probate Court, under California Probate Code § 1510.1, does not have jurisdiction or authority to "reunify" an 18- to 20-year-old immigrant with his or her parents (the "Reunification-Authority Requirement").

"Class List" means the system-generated list, dated October 1, 2019, of individuals who were between 18- and 21-years old on the date of filing of the I-360 (SIJ petition) and included a California residence on their petition. The list of possible Class Members may be both overinclusive and underinclusive. *See* ECF No. 132-2 at ¶¶ 5–8.

"Class Member(s)" means any "[c]hildren who have received or will receive guardianship orders pursuant to California Probate Code § 1510.1(a) and who have received or will receive denials of their SIJ classification petitions on the grounds that the state court that issued the SIJ Findings lacked jurisdiction because the court did not have the authority to reunify the children with their parents" (ECF No. 112) and who file their SIJ petitions by December 15, 2019.

"Class Notice" means a notice substantially in the same form as Exhibit 1.

"Compliance Report" means a report filed by Defendants as described in Section VI.B, substantially in the form of Exhibit 2.

1 2	"Defendants" means U.S. Citizenship and Immigration Services ("USCIS"); Department of Homeland Security ("DHS"); Kenneth T. Cuccinelli, II, in his official capacity as Director, USCIS; Kevin McAleenan, in his official capacity as Acting Secretary, DHS; and Robert M.			
3	Cowan, in his official capacity as Director, USCIS National Benefits Center; their predecessors and successors, their departments and agencies, and their past or present agents, employees, and			
4	contractors.			
5	"Defendants' Counsel" means the United States Department of Justice, Civil Division, Office of Immigration Litigation – District Court Section.			
7 8	"Effective Date of Settlement" or "Effective Date" means the date when all of the following shall have occurred: (a) entry of the Preliminary Approval of the Settlement Agreement; (b) approval by the Court of this Settlement Agreement, following notice to the Class and a fairness hearing, as prescribed by Pula 22 of the Federal Pulas of Civil Presedure; (a) entry by			
9 10	dismissing the case with prejudice with regard to all Settled Claims.			
11 12	"Final Order" means entry by the Court of an order substantially in the form of Exhibit 3 that grants final approval of this Agreement as binding upon the Parties and the Class Members, and dismisses the case, with prejudice respecting the Settled Claims.			
13	"Named Plaintiffs" means J.L., M.D.G.B., J.B.A., and M.G.S.			
14	"NOID" means Notice of Intent to Deny.			
15	"NOIR" means Notice of Intent to Revoke.			
16	"Parties" means Plaintiffs and Defendants.			
17 18	"Person" means an individual considered a "juvenile," "child," "minor," or equivalent term subject to the jurisdiction of a juvenile court under the law of the state in which he or she resides.			
19 20	"Plaintiffs' Counsel" or "Class Counsel" means Manatt, Phelps & Phillips, LLP, Public Counsel, and Lawyers' Committee for Civil Rights of the San Francisco Bay Area. Should these entities change their names or merge with other entities, those new entities shall also qualify as Class Counsel.			
21 22	"Preliminary Notice Date" means the date by when the Class Notice is distributed in accordance with Section IV.			
232425	"Reunification-Authority Requirement" means the requirement that a state court have the authority to place a Person in the custody of his or her parent(s) and/or the authority to order the reunification of a Person with his or her parent(s) in order to make a qualifying determination of whether the Person's reunification with one or both parents is not viable on the basis of			
26 27	abandonment, abuse, or neglect, for the purposes of eligibility for SIJ classification. "RFE" means Request for Evidence.			

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1	"Self-Identified Class Member" means an individual who meets all of the requirements
2	described in Section V. 6.
3	"Settled Claims" means all claims for relief that were brought on behalf of Class Members based on the facts and circumstances alleged in the Complaint and First Amended Class Action
5	Complaint (ECF Nos. 1, 70), including but not limited to claims for declaratory or injunctive relief based on allegations that USCIS imposed a "new requirement" (the "Reunification—Authority Requirement") for SIJ classification, which was contrary to state and federal law and
6	violated the Administrative Procedure Act, the Immigration and Nationality Act, and/or the Due Process Clause of the Fifth Amendment to the U.S. Constitution.
7 8	"Settlement Protective Order" means the protective order substantially in the form of Exhibit 4, which the Parties shall jointly request be entered by the Court and govern the exchange of personally identifying information by the Parties.
9	"SIJ" means special immigrant juvenile, as defined in 8 U.S.C. § 1101(a)(27)(J).
10 11	"SIJ petition" means a form I-360, "Petition for Amerasian, Widow(er), or Special Immigrant," where Special Immigrant Juvenile is one subset of petitioners who are eligible to file the form I-360, as defined below:
12 13	1. Is present in the United States;
14	2. Is unmarried and less than 21 years of age;3. Has been declared dependent upon a juvenile court in the United States, or who such a
15	court has legally committed to or placed under the custody of an agency or departmen of a state, or an individual or entity appointed by a state or juvenile court;
16	4. Has been the subject of a determination by a juvenile court in the United States that reunification with one or both of the juvenile's parents is not viable due to abuse,
17	neglect, abandonment, or a similar basis under state law; and 5. Has been the subject of administrative or judicial proceedings that determined that it
18 19	would not be in the juvenile's best interest to be returned to the juvenile's or his or her parent's country of citizenship or nationality or last habitual residence.
20	"SIJ regulation" means 8 C.F.R. § 204.11.
21	"SIJ statute" means 8 U.S.C. § 1101(a)(27)(J).
22	"Updated Class Notice" means the Notice provided to Members of the Class List sent as described in Section IV.G.
23	"Updated Notice Date" means the date by when the Updated Class Notice is distributed in
24	accordance with Section IV.G.
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III. AGREED UPON TERMS

- **A.** USCIS will no longer require the Reunification-Authority Requirement when adjudicating SIJ petitions.
- **B.** Pursuant to California Probate Code § 1510.1 ("§ 1510.1") and California Civil Procedure Code § 155, the Probate Division of the California Superior Court (the "Probate Court") is a "juvenile court" for the purpose of making custodial placements and/or legal commitments; issuing findings regarding whether abandonment, abuse, neglect, or a similar basis under state law renders reunification between a Person under the age of 21 and his or her parent not viable; and issuing findings regarding best interests pursuant to California law, as required under the SIJ Statute.
- C. A Person is not disqualified from SIJ classification provided that: 1) state law confers upon a state court the jurisdiction to declare the Person dependent, legally commit the Person to an individual or entity, or place the Person under the custody of an individual or entity regardless of age; and 2) the Person is unmarried and under the age of 21 when he or she petitions for SIJ classification.
- **D.** A "child" as defined by § 1510.1 is not disqualified from SIJ classification, despite having reached California's age of majority before obtaining a custodial placement and/or legal commitment as required for SIJ classification eligibility because the California Probate Court has jurisdiction over such "child" as a "juvenile" for purposes of SIJ classification under § 1510.1.

IV. NOTICE AND APPROVAL PROCEDURE

- **A. Preliminary Approval.** As soon as practicable after the execution of this Agreement, the Parties shall jointly move for a Preliminary Approval Order, substantially in the form of Exhibit 5, preliminarily approving this Agreement and this settlement to be fair, just, reasonable, and adequate, approving the Class Notice to the Class Members as described *infra* IV.B, and setting a hearing to consider Final Approval of the Settlement, any objections thereto.
- **B.** Notice for Fairness Hearing. Not later than two business days after entry of the order granting Preliminary Approval (unless otherwise modified by the Parties or by order of the Court), the Parties shall effectuate the following:
- 1. Plaintiffs shall post the Class Notice (in English and Spanish), including a copy of the Settlement Agreement, on the Public Counsel website and the Lawyers' Committee for Civil Rights of the San Francisco Bay Area's website;
- **2.** Plaintiffs shall directly contact the individual Class Members, through their counsel, that Plaintiffs have already identified in connection with the declarations previously filed in this case, and provide a copy of the Class Notice (in English and Spanish), including a copy of the Settlement Agreement;

- 3. USCIS shall post the Class Notice (in English and Spanish), including a copy of the Settlement Agreement, on USCIS's website on the "Legal Resources, Legal Settlement Notices" and the "Special Immigrant Juveniles" sections;
- **4.** Plaintiffs shall distribute the Class Notice (in English and Spanish), including a copy of the Settlement Agreement, on relevant email/listserv mailing lists for direct service providers; and
- **5.** USCIS's Office of Public Affairs shall email the Class Notice (in English and Spanish), including a copy of the Settlement Agreement, to its approximately 47,000 subscribed users.
- **C. Objections.** Any Class Member who wishes to object to the settlement and/or be heard at the Final Approval hearing must submit a written notice of objection and/or request to be heard at the final Approval Hearing, postmarked within 35 days after the Preliminary Notice Date (or such other deadline as the Court might order), by mailing the notice of objection and/or request to be heard to the Class Action Clerk for the Northern District of California, San Jose Courthouse, or by filing the notice of objection and/or request to be heard with the Court. Each notice of objection or request to be heard must include: (i) the case name and number, (ii) the Class Member's name, (iii) the Class Member's current address and telephone number, or current address and telephone number of the Class Member's legal representative, and (iv) an explanation of why the Class Member objects to the Settlement, including the grounds therefore, any supporting documentation, and the reasons, if any, for requesting the opportunity to appear and be heard at the Final Approval hearing. Failure to comply with all requirements of this section shall constitute grounds for striking an objection or denying a request to be heard, if applicable.
- **D. Opt-Outs.** Due to the nature of the relief offered to the Class Members, no Class Members are permitted to opt-out. All Class Members' denied, revoked, or pending SIJ Petitions will be adjudicated in accordance with this Agreement.
- **E. Identification of Class Members.** Within 14 days of the Preliminary Approval of the Agreement and entry of the Settlement Protective Order, Defendants shall provide Class Counsel with the following:
- 1. The Class List, subject to the Settlement Protective Order, with the following columns of data points:
 - a. Last Name
 - **b.** First Name
 - **c.** A-number
 - **d.** G-28 Filed
 - **e.** Attorney of Record as listed on G-28 Form
 - **f.** I-360 Receipt Number
 - **g.** I-360 Status (indicates if I-360 is Pending, Denied or Revoked)
 - **h.** RFE Flag (indicates if RFE was issued on I-360)
 - i. NOID Flag (indicates if NOID was issued on I-360)

- j. NOIR Flag (indicates if NOIR was issued on I-360)
- **k.** RFE/NOID/NOIR Issued
- **l.** RFE/NOID/NOIR Response Received
- **m.** I-485 Receipt Number (this column will contain a Receipt Number only if the I-485 has been denied)
- **n.** I-485 Status (this column will indicate only if the I-485 has been denied)
- o. I-765 Receipt Number (this column will contain a Receipt Number only if the I-765 has been denied).
- **p.** I-765 Status (this column will only indicate if the I-765 has been denied)
- **q.** Currently in Removal Proceedings (this column will only indicate a "Y" for yes if the petitioner is in removal proceedings, and will otherwise be blank)¹
- **r.** Final Removal Order (this column will only indicate a "Y" for yes if the petitioner has a final removal order (from an IJ or the BIA), and will otherwise be blank)
- **F. Final Approval Order and Judgment.** At the hearing on Final Approval, the Parties shall jointly move for entry of the Final Order, substantially in the form of Exhibit 3, granting final approval of this Agreement to be final, fair, reasonable, adequate, and binding on all Class Members; overruling any objections to the Agreement; ordering that the terms be effectuated as set forth in this Agreement; and giving effect to the releases as set forth in Section IX.
- G. Notice of Final Approval. Not later than two business days after entry of Final Approval of the Agreement (unless otherwise modified by the Parties or by order of the Court), the Parties shall confirm Final Approval by providing an Updated Class Notice (in English and Spanish), to the same websites and distribution lists as set forth in Section IV.B. In addition, within 30 days from the date on which the Court enters the Final Approval of the Settlement Agreement, Defendants will mail the Updated Class Notice (in English and Spanish)² to each of the Class List Members' last known address, and, if represented by an attorney, to their attorney's addresses as listed on Form G-28. Defendants will provide confirmation to Class Counsel via email that such mailing has been sent within five (5) business days of mailing. Defendants will also post and make available the Updated Class Notice in the following U.S.

¹ ICE voluntarily is providing the following data for categories "q. Currently in Removal Proceedings" and "r. Final Removal Order." This data is based on information ICE attorneys enter into their electronic system when they receive a new removal case. Although ICE has strived to provide the most accurate information possible, absolute accuracy is not guaranteed. Plaintiffs should bring to Defendants' Counsel's attention any inaccuracies they become aware of, such as any individual marked as not in removal proceedings who actually is in removal proceedings.

² The Parties will work together with the goal of preparing a version of the Updated Class Notice, for mailing purposes only, that will be limited to a total of four (4) pages (consisting of two (2) pages in English and two (2) pages in Spanish).

Immigration and Customs Enforcement ("ICE") Detention Centers in California: Adelanto ICE Processing Center; Mesa Verde ICE Processing Facility; Imperial Regional Detention Facility; Otay Mesa Detention Center; Santa Ana City Jail; Yuba County Jail; and James A. Musick Facility.

V. ADJUDICATION PROCEDURES AND TIMELINE FOR SIJ PETITIONS OF NAMED PLAINTIFFS AND CLASS MEMBERS

- **A.** The Defendants must adjudicate SIJ petitions in accordance with the SIJ Statute, the Agreement, and the following adjudicatory timeline:
- 1. Within 7 days of the Effective Date of this Settlement Agreement, Defendants will adjudicate the SIJ petitions for the Named Plaintiffs in accordance with the terms of the Settlement Agreement.
- 2. Within 30 days of the Effective Date, Defendants will reopen and readjudicate the SIJ petitions for all possible Class Members identified on the Class List who previously received a denial of their SIJ petition or a revocation of their SIJ classification, in accordance with the terms of the Settlement Agreement.
- 3. Within 60 days of the Effective Date, Defendants will adjudicate the SIJ petitions for all possible Class Members identified on the Class List who were in removal proceedings or who had received final removal orders as of October 16, 2019 (the date when ICE identified who in the Class List are in removal proceedings), in accordance with the terms of the Settlement Agreement.
- **4. Within 90 days of the Effective Date**, Defendants will adjudicate the SIJ petitions for all possible Class Members identified on the Class List who previously received an RFE, a NOID, and/or a NOIR, in accordance with the terms of the Settlement Agreement.
- 5. Within 180 days of the Effective Date, Defendants will adjudicate the SIJ petitions for all possible Class Members identified on the Class List, in accordance with the terms of the Settlement Agreement.
- Petition as of December 15, 2019, and believes they are part of the class but has not received Class Notice by mailing shall notify Defendants' Counsel, through Class Counsel, within 120 days from the Effective Date of the Agreement. Such potential Class Member shall provide their name, A-number, and I-360 receipt number (if available) to Class Counsel, who will then evaluate and assess whether they believe the individual falls within the definition of the Class. If Class Counsel represents that the individual is a Class Member, then Class Counsel will provide the above identifying information to Defendants' Counsel. Defendants will then adjudicate the individual's I-360 petition in accordance with the timelines for adjudication outlined in Section V.A.1–5, but such timeline will begin on the date Defendants' Counsel receives Class Counsel's request for that individual.

- **B.** The above processing times in this Agreement may be tolled in certain circumstances outlined below, because such actions may take the case beyond the agreed time frame for final adjudication, per this Agreement. This is to ensure that the petitioners are afforded the full response times as required by 8 C.F.R. § 103.2. Specifically:
- **1.** If USCIS issues an RFE, it must allow the petitioner the permitted 87 days to respond.
- **2.** If USCIS issues a NOID, it must allow the petitioner the permitted 33 days to respond.
- **3.** If USCIS must refer the case for adjudication of background checks or to the Fraud Detection and National Security Directorate, it would require time for that process to complete.
- **4.** In most cases, USCIS must have the A-file for final adjudication. If USCIS experiences a delay in obtaining the A-file for those petitioners, and such delay results in an uncompleted adjudication within the agreed time frame, then Defendants reserve the right to provide this information in the Compliance Report and make a request to the Court that they not be found out of compliance in that instance. Plaintiffs reserve the right to object to such request.
- C. For any Class Member who already received an RFE, NOID, NOIR, denial, or revocation of their SIJ petition, USCIS shall not issue a new RFE or NOID for any grounds not previously raised in the earlier RFE, NOID, NOIR, denial, or revocation. This provision does not preclude USCIS from issuing RFEs, NOIDs, denials, or revocations based on new grounds that did not exist at the time the earlier RFE, NOID, denial, or revocation was issued.
- **D.** SIJ Petitions of Class Members who have been issued RFEs, NOIDs, denials, NOIRs, or revocations solely based on the Reunification-Authority Requirement will be adjudicated in accordance with the terms of the Settlement Agreement and will be favorably adjudicated if otherwise approvable.
- E. The foregoing provisions do not limit USCIS's ability to issue RFEs, NOIDs, NOIR, denials, or revocations based on changes to factual circumstances, which occurred after the date of the previously issued RFE, NOID, NOIR, denial, or revocation in accordance with the terms of this Agreement. For the Class Members described in C and D above, USCIS will only issue an RFE, NOID, NOIR, denial or revocation that is based on information post-dating the issuance of said RFE, NOID, NOIR, denial or revocation. USCIS will not issue any general RFEs asking that a Class Member affirmatively identify any change in circumstance that is not evidenced in a separate immigrant petition post-dating receipt of the Class Member's SIJ petition or otherwise indicated in information available to USCIS. Any change that post-dates the previous RFE, NOID, NOIR, denial, or revocation (whether or not published in USCIS's Policy Manual or in any publication or document provided to USCIS adjudicators) in SIJ policy, legal guidance, regulation, or regulatory interpretation, that would make any Class Members ineligible for SIJ classification specifically based on the SIJ Petitioner's age, shall not apply to Class Members.

- **F.** For any Class Member who has not already received an RFE, NOID, NOIR, or denial of their SIJ petition, USCIS may issue an RFE or NOID in accordance with the law and this Agreement. Consistent with USCIS's best practices, USCIS will make every effort to list all grounds for issuance in the one RFE, NOID, or NOIR.
- G. Defendants also shall provide no fewer than 45 days' notice to Plaintiffs' Class Counsel before USCIS takes any Adverse Adjudicatory Action (as defined *supra* Section II) against any of the Plaintiffs or Class Members. Notice shall be in the form of a NOID or NOIR provided to the Class Member and attorney of record, and a copy to Class Counsel. Such notice shall be in the form of a NOID or NOIR provided to the Class Member and attorney of record, and a copy to Class Counsel, sent to a designated e-mail address: CASIJClassAction@manatt.com. If a denial is issued, a copy shall be provided to Class Counsel.
- **H.** For denials issued because a Class Member failed to respond to an RFE, NOID, or NOIR based solely on the Reunification-Authority Requirement, the petition will be readjudicated in accordance with the terms of the Settlement Agreement.
- I. Should a Class Member who has received a final order of removal intend to move to reopen his or her removal proceedings and/or seek to rescind his or her order of removal, the Class Member shall notify Class Counsel who shall notify Defendant's Counsel of said Class Member's intention. Within five (5) business days of Class Counsel notifying Defendants' Counsel, USCIS shall request that ICE join or not oppose such motion to reopen and/or rescind. If ICE refuses to do so, Defendants' Counsel shall inform Class Counsel within five (5) business days of ICE's refusal.
- J. Should a Class Member receive a final order of removal before his or her SIJ petition has been reopened or adjudicated in accordance with the terms of this Agreement, within five (5) business days of Defendants' Counsel being informed of such order by Class Counsel, USCIS shall request that ICE refrain from executing the removal order. If ICE refuses to do so, Defendants shall inform Class Counsel within five (5) business days of ICE's refusal.
- **K.** USCIS shall not issue a Notice to Appear to any Class Member based on the denial of a SIJ Petition solely denied due to the Reunification-Authority Requirement until USCIS has fully adjudicated their I-360 in accordance with the Settlement Agreement.
- L. USCIS shall promptly reopen all Applications to Register Permanent Residence or Adjust Status (I-485s) and Applications for Employment Authorization (I-765s) based on the I-485 application that were denied in conjunction with the denial of Class Members' SIJ petitions for all Class Members in accordance with this Settlement, the SIJ Statute, and the Immigration and Nationality Act. USCIS shall, within 45 days of any approval of the Class Member's I-360, reopen all Applications to Register Permanent Residence or Adjust Status (I-485s) and/or Applications for Employment Authorization (I-765s) associated with the underlying approved I-360 petition as set forth below:
- 1. For Class Members whose I-485s or I-765s were denied due to a denied underlying I-360 petition, where the I-485 is immediately approvable: When an underlying I-

360 is reopened and approved and the petitioner had filed an I-485 that was denied on the basis of the denied underlying petition, USCIS will reopen the I-485 and if immediately approvable, will readjudicate the I-485 within five (5) business days of approving the I-360 and will take no action on the denied I-765.

- **2.** For Class Members whose I-485s or I-765s were denied due to a denied underlying I-360 petition, where the I-485 is not immediately approvable: When an underlying I-360 is reopened and approved and the petitioner had filed an I-485 that was denied on the basis of the denied underlying petition, USCIS will reopen the I-485 and if not immediately approvable, will reopen and readjudicate the I-765 within five (5) business days of approving the I-360.
- 3. For Class Members whose I-485s or I-765s were denied due to a denied underlying I-360 petition, where the I-485 is not immediately approvable and the Employment Authorization Document ("EAD") has been terminated or has expired: When an underlying I-360 is reopened and approved and the petitioner had filed an I-485 that was denied on the basis of the denied underlying petition and the I-765 had been previously approved but the EAD terminated at the time of the I-485 denial or is presently expired, USCIS will reopen the I-485 within five (5) business days of approving the I-360 and if not immediately approvable, will reopen and issue an RFE for a no-fee I-765, and will adjudicate the I-765 upon the receipt of the RFE response.

VI. IMPLEMENTATION AND ENFORCEMENT OF THIS SETTLEMENT AGREEMENT

- **A.** Record Keeping Requirements: USCIS shall retain and preserve all records, forms, logs, reports, and other written documents, including electronic records and files, that are relevant to the adjudications of SIJ petitions as set forth in this Agreement in accordance with all of USCIS's applicable records-retention requirements, procedures, and policies, which can be found at https://www.archives.gov/research/immigration/aliens. USCIS shall be responsible for maintaining and preserving, or supervising the maintenance and preservation of, these records. These records shall be maintained for so long as the Court retains jurisdiction over this action, or longer if required by law, as set out below in Section X.
- **B.** "Notice of Compliance" Reports. Defendants shall provide the Court and Class Counsel with at least four Notice of Compliance Reports at the following benchmarks: 35 days, 65 days, 95 days, and 185 days after the Effective Date of the Settlement Agreement. Only in the event that Defendants have not completed the adjudication deadlines outlined in Section V, Defendants will provide the Court and Class Counsel with Notice of Compliance Reports every 90 days after the last Notice until Defendants have completed adjudicating all of the Class Members' I-360 petitions in accordance with this Agreement.
- 1. The Notice of Compliance Reports will identify all actions taken by Defendants to comply with the terms of this Settlement Agreement, including:
- **a.** The number of adjudicated Class Member SIJ petitions to date, including the number of approvals, denials, RFEs, NOIDs, NOIRs, or revocations.

- **b.** The number of adjudicated Class Member SIJ petitions for each identified and agreed upon timeframe above, including the number of approvals, denials, RFEs, NOIDs, NOIRs, or revocations.
 - **c.** The reason for any non-compliance with said timeframes.
- **d.** A statement from USCIS that it has not applied the Reunification-Authority Requirement to any SIJ petitions since the return of the executed Settlement Agreement.
- 2. The Notice of Compliance Report shall include the following information for Self-Identified Class Members: total number identified; applicable deadline timeframe for adjudication per the Agreement; beginning and endpoint of said allotted adjudication time frame; number of approvals, denials, RFEs, NOIDs, NOIRs, or revocations; date of adjudication.
- 3. Plaintiffs, through Class Counsel, shall have the opportunity to meet and confer with Defendants regarding the Notice of Compliance Report, submit a response to any Compliance Report within five (5) business days of service, and request a hearing with the Court as needed.

VII. SETTLEMENT BASED ON COURT APPROVAL OF TERMS

- **A.** In the event that the Court does not approve the Settlement Agreement, the Parties' good-faith adherence to the terms of this Settlement prior to said nonapproval, reversal, vacatur, or termination shall not be considered unlawful.
- **B.** This Settlement is subject to and contingent upon Court approval under Rule 23(e) of the Federal Rules of Civil Procedure.
- C. Except as otherwise provided herein, in the event the Agreement is terminated or modified in any material respect or fails to become effective for any reason, then the Agreement shall be without prejudice and none of its terms shall be effective or enforceable; the Parties to this Agreement shall be deemed to have reverted to their respective status in the Action as of the date and time immediately prior to the execution of this Agreement; and except as otherwise expressly provided, the Parties shall proceed in all respects as if this Agreement and any related orders had not been entered. In the event that the Agreement is terminated or modified in any material respect, the Parties shall be deemed not to have waived, not to have modified, or not be estopped from asserting any additional defenses or arguments available to them. In such event, neither this Agreement nor any draft thereof, nor any negotiation, documentation, or other part or aspect of the Parties' settlement discussions, nor any other document filed or created in connection with this settlement, shall have any effect or be admissible in evidence for any purpose in the Litigation or in any other proceeding, and all such documents or information shall be treated as strictly confidential and may not, absent a court order, be disclosed to any person other than the Parties' counsel, and in any event only for the purposes of the Litigation.

VIII. TERMINATION OF OBLIGATIONS

The obligations of this Agreement shall automatically terminate at the same time as the Court's jurisdiction. At that time, this Agreement shall dissolve without further action.

IX. RELEASES

- A. As of the Effective Date, the Plaintiffs and the Class Members, on behalf of themselves; their heirs, executors, administrators, representatives, attorneys, successors, assigns, agents, affiliates, and partners; and any persons they represent, by operation of any final judgment entered by the Court, shall have fully, finally, and forever released, relinquished, and discharged the Defendants of and from any and all of the Settled Claims, and the Plaintiffs and the Class Members shall forever be barred and enjoined from bringing or prosecuting any Settled Claim against any of the Defendants, and all of their past and present agencies, officials, employees, agents, attorneys, and successors. This Release shall not apply to claims that arise or accrue after the termination of this Agreement.
- **B.** Nothing in this Agreement should be construed as establishing any right or interest in challenging an adverse SIJ petition adjudication, or any other DHS or USCIS action, decision, determination, order, form, instruction, training material, delay, or process or procedure, beyond those expressly provided herein or under law.
- **C.** Nothing in this Agreement should be construed as affecting any Class Members' right or interest in challenging the adjudication of his or her individual I-360, I-485, or I-765, or challenging any related removal order. Individual Class Members expressly maintain the right to challenge the adjudication of such petitions and orders.
- **D.** In consideration of the terms and conditions set forth herein, Plaintiffs hereby release and forever discharge Defendants, and all of their past and present agencies, officials, employees, agents, attorneys, successors, and assigns from any and all obligations, damages, liabilities, causes of action, claims, and demands of any kind and nature whatsoever, whether suspected or unsuspected, arising in law or equity, arising from or by reason of any and all known, unknown, foreseen, or unforeseen injuries, and the consequences thereof, resulting from the facts, circumstances and subject matter that gave rise to the Action, including all claims that were asserted or that Plaintiffs could have asserted in the Action.
- **E.** Considering the benefits that the Plaintiffs and Class Members will receive from settlement of the Action and the risks of litigation, Class Counsel have concluded that the terms and conditions of this Agreement are fair, reasonable, and in the best interests of the Plaintiffs and Class Members; Plaintiffs have agreed that Defendants shall be released from the Settled Claims pursuant to the terms and provisions of this Agreement; and Plaintiffs have agreed to the dismissal with prejudice of this Action and all Settled Claims as defined in Section II.

Consequently, Plaintiffs and Defendants expressly waive all provisions, rights and benefits of California Civil Code § 1542 (and equivalent, comparable, or analogous provisions of the laws of the United States or any state or territory thereof, or of the common law). Section 1542 provides:

A general release does not extend to claims that the creditor or releasing party does not know or suspect to exist in his or her favor at the time of executing the release and that, if known by him or her, would have materially affected his or her settlement with the debtor or released party.

- **F. Effectuation of Settlement.** The above releases do not include any release of claims to enforce the terms of this Agreement prior to termination of obligations under this Agreement as provided in Section VIII.
- **G. No Admission of Wrongdoing**. This Agreement, whether or not executed, and any proceedings taken pursuant to it:
- 1. shall not be construed to waive, reduce, or otherwise diminish the authority of the Defendants to enforce the laws of the United States against Class Members, consistent with the Constitution and laws of the United States, and applicable regulations;
- 2. shall not be offered or received against the Defendants as evidence of, or construed as or deemed to be evidence of, any presumption, concession, or admission by any of the Defendants of the truth of any fact alleged by the Plaintiffs or the validity of any claim that was asserted in the Action or in any litigation or the deficiency of any defense that has been or could have been asserted in the Action or of any liability, negligence, fault, or wrongdoing of the Defendants, or any admission by the Defendants of any violation of or failure to comply with the Constitution, law, or regulations; and
- 3. shall not be offered or received against the Defendants as evidence of a presumption, concession, or admission of any liability, negligence, fault, or wrongdoing, or in any way referred to for any other reason as against any of the Parties to this Agreement, in any other civil criminal or administrative action or proceeding, other than such proceedings as may be necessary to effectuate the provisions of this Agreement; provided, however, that if this Agreement is approved by the Court, Defendants may refer to it and rely upon it to effectuate the liability protection granted them hereunder.

X. RETENTION OF JURISDICTION

- A. This court retains exclusive jurisdiction over the Settlement Agreement for the purpose of enforcing any of its provisions and terms, and the Court's retention of such jurisdiction shall be noted in the dismissal of this action. The Agreement and the Court's exclusive jurisdiction to enforce the Agreement, both shall terminate automatically one (1) year following the Court's order approving Defendants' certification that they have fully adjudicated the Class Members' petitions in compliance with Sections III and V of the Agreement, as documented by Defendants' Compliance Reports to Plaintiffs and the Court. Plaintiffs reserve the right to request that the Court extend its exclusive jurisdiction over the Agreement should Defendants breach this Agreement after the Court's order approving Defendants' certification.
- **B.** The Parties agree to work cooperatively with one another and in good faith and agree to use their best efforts to effectuate the purposes of this Agreement and to resolve

informally any differences regarding interpretation of and compliance with this Agreement prior to bringing such matters to the Court for resolution.

C. The Parties shall have the right to seek from the Court relevant modifications of this Agreement to ensure that its purposes are fully satisfied, provided that any request for a modification has been preceded by good faith negotiations between the Parties. The Parties may agree in writing to modify the deadlines established in this Agreement without Court approval, but such writing must be lodged with the Court.

XI. ATTORNEYS' FEES, COSTS, AND EXPENSES

The Parties have agreed to bifurcate Plaintiffs' claim for fees plus costs and expenses, pursuant to the Equal Access to Justice Act ("EAJA"), 28 U.S.C. § 2412(d) and 5 U.S.C. § 504 *et seq.* Therefore, EAJA fees are not a subject of this Agreement, other than that the Parties agree that the district court would retain jurisdiction over the issue of attorneys' fees and costs that may be unresolved by the Parties. The Parties shall negotiate Plaintiffs' EAJA fees and costs request for a period of 45 days from the date of execution of this Agreement, assuming Plaintiffs have provided Defendants with supplementation to the EAJA request by that date. Should the Parties be unable to reach an Agreement, Plaintiffs shall file a motion for EAJA fees no later than 30 days following the Effective Date of this Agreement.

XII. ADDITIONAL PROVISIONS

- **A. Best Efforts.** The Parties' counsel shall use their best efforts to cause the Court to grant Preliminary Approval of this Agreement and Settlement as promptly as practicable, to take all steps contemplated by this Agreement to effectuate the Settlement on the stated terms and conditions, and to obtain Final Approval of this Agreement and Settlement.
- **B.** Change of Time Periods. The time periods and/or dates described in this Agreement with respect to providing Notice of the Preliminary Approval of the Agreement and Preliminary Approval and Fairness hearings are subject to approval and change by the Court or by the written agreement of the Parties' counsel, without notice to Class Members.
- C. Time for Compliance. The dates described herein refer to calendar days, unless otherwise stated. If the date for performance of any act required by or under this Agreement falls on a Saturday, Sunday, or court holiday, that act may be performed on the next business day with the same effective as if it had been performed on the day or within the period of time specified by or under this Agreement.
- **D. Entire Agreement.** The terms and conditions set forth in this Agreement constitute the complete and exclusive statement of the agreement between the Parties relating to the subject matter of this Agreement, superseding all previous negotiations and understandings, and may not be contradicted by evidence of any prior or contemporaneous agreement. The Parties further intend that this Agreement constitute the complete and exclusive statement of its terms as between the Parties, and that no extrinsic evidence whatsoever may be introduced in any judicial or other proceeding, if any, involving the interpretation of this Agreement. Any amendment or modification of the Agreement must be in a writing signed by Plaintiffs, Plaintiffs' Counsel, and Defendants' Counsel.

Telephone: (213) 385-2977

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2	Lawyers' Committee For Civil Rights Of The San Francisco Bay Area
	131 Steuart Street, Suite 400 San Francisco, CA 94105
3	Telephone: (415) 543-9444
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6	Defendants or Defendants' Counsel:
7	Lauren E. Fascett
	Senior Litigation Counsel United States Department of Justice
8	Civil Division
9	Office of Immigration Litigation
10	District Court Section
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11	Telephone No.: (202) 616-3466
12	Email: Lauren.Fascett@usdoj.gov
13	Catherine M. Reno
14	Trial Attorney United States Department of Justice
	Civil Division
15	Office of Immigration Litigation
16	District Court Section
17	Ben Franklin Station, P.O. Box 868 Washington, D.C. 20044
	Telephone No.: (202) 353-8557
18	Catherine.M.Reno@usdoj.gov
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1	THEREFORE, all Parties enter into and execute this Agreement by signing, and agree that it shall take effect as of the Effective Date as noted above.	
2		
3	APPROVED AS TO FORM:	
4	DATED: October 25 , 2019	MANATT PHELPS & PHILLIPS, LLP
5		Sira- Cartillo
6		Sirena P. Castillo, Esq.
7 8	DATED: October 25, 2019	PUBLIC COUNSEL
9 10		Mary Tanaho Ross, Esq.
11 12	DATED: October, 2019	LAWYER'S COMMITTEE FOR CIVIL RIGHTS OF THE SAN FRANCISCO BAY AREA
13		
14	AGREED TO BY PLAINTIFFS:	Bree Bernwanger, Esq.
15 16	DATED: October, 2019	
17		
18		J.B.A.
19	DATED: October, 2019	
20		
21	DATED: October, 2019	J.L.
22	DATED, October, 2019	
23		
24	DATED: October, 2019	M.G.S.
25	DATED, October, 2019	
26		
27		M.D.G.B.
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	Settlement Agreement Page 19 of 20	

AILA Doc. No. 19061808. (Posted 11/1/19)

Case 5:18-cv-04914-NC Document 211-2 Filed 10/25/19 Page 23 of 73

1 2	THEREFORE, all Parties enter into and execute this Agreement by signing, and agree that it shall take effect as of the Effective Date as noted above.	
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6		Sirena P. Castillo, Esq.
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8	DATED: October, 2019	PUBLIC COUNSEL
9	# E	
10		Mary Tanagho Ross, Esq.
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12		OF THE SAN FRANCISCO BAY AREA
13		Com
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Settlement Agreement Page 19 of 20 AILA Doc. No. 19061808. (Posted 11/1/19)

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Settlement Agreement Page 19 of 20 AILA Doc. No. 19061808. (Posted 11/1/19)

1 FOR AND ON BEHALF OF DEFENDANTS: EXECUTED this <u>15</u> day of October, 2019 2 3 4 5 Lauren E. Fascett Senior Litigation Counsel United States Department of Justice Civil Division Office of Immigration Litigation District Court Section Ben Franklin Station, P.O. Box 868 Washington, D.C. 20044 10 11 Catherine M. Reno 12 Trial Attorney United States Department of Justice 13 Civil Division 14 Office of Immigration Litigation District Court Section 15 Ben Franklin Station, P.O. Box 868 Washington, D.C. 20044 16 17 18 19 20 21 22 23 24 25

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